

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i> Date Submitted to PTO: June 27, 2001	ATTY DOCKET NO. 1263.1771	APPLICATION NO. 09/891,242 UNASSIGNED	J1033 U.S. PTO 09/891242 06/27/01
APPLICANT ROBERT ALEXANDER KEILLER		FILING DATE June 27, 2001	GROUP 2651 Unassigned

U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
OPH	5,960,395	9/28/99	TZIRKEL-HANCOCK	704	241	
OPH	6,525,749	4/29/97	GOLDENTHAL, ET AL.	395	2.63	
OPH	5,265,014	11/23/9	HADDOCK, ET AL.	364	419.08	
OPH	6,012,027	1/4/00	BOSSEMEYER, JR.	704	243	
OPH	4,918,732	4/17/90	GERSON, ET AL.	381	43	

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
OPH	WO 99/08084	2/1999	PCT	—	—	NO
OPH	0 911 808	4/28/99	EPO	—	—	
OPH	WO 99/18566	4/15/99	PCT	—	—	
OPH	0 854 418	7/1998	EPO	—	—	
OPH	0 872 827	10/1998	EPO	—	—	
OPH	2 346 999	8/2000	United Kingdom	—	—	
OPH	0 789 349 A2	8/1997	EPO	—	—	
OPH	DE198 04 047A1	8/1999	GERMANY	—	—	

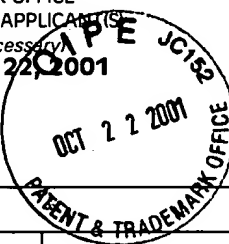
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
OPH	"XML Unleashed", (Chapter 20) Michael Morrison, et al. ISBN - 0-672-31514-9, Sams Publishing, 2000
OPH	"Unification-based Multimodal Integration", Johnson, et al., In the Proceedings of the 35 th Annual meeting of the Association for Computational Linguistics and 8 th Conference of the European Chapter of the Association for Computational Linguistics, 1997, pp. 281-288
OPH	"Research in Multimedia and Multimodal Parsing and Generation", Mark T. Maybury - Conference proceedings of Coling 94, Computational Linguistics. International Conference. 15th, 1994 Aug. Kyoto, Japan
	"Put That Were? Voice and Gesture at the Graphics Interface" M. Billinghamurst - ACM SIGGRAPH Vol. 32, No. 4, November 1998

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Date Submitted to PTO: June 27, 2001			FILING DATE June 27, 2001		GROUP 2654 Unassigned	
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CPH	5,621,854	4/15/97	Hollier	—	—	
CPH	5,832,424	11/3/98	Tsutsui	—	—	
CPH	4,805,193	2/14/89	McLaughlin, et al.	—	—	
CPH	4,087,630	5/2/78	Browning, et al.	—	—	
CPH	4,827,516	5/2/89	Tsukahara, et al.	—	—	
OTHER PATENT DOCUMENTS						
CPH	Extract from Chapter 6, Section 6.5 of Techniques in Natural Language processing 1: Module Workbook Mellish, Whitelock & Ritchie					
CPH	"Pearl: A Probabilistic Chart Parser" Magerman et al in Proceedings, European ACL, April 1991. Also published in Proceedings, Second International Workshop for Parsing Technologies, February 1991.					
CPH	"A Generic Platform for Addressing the Multimodal Challenge" Laurence Nigay, et al. - Conference proceedings on Human Factors in computing systems, May 7-11, 1995 Denver, CO USA					
CPH	Allen et al. - Chart Parsing (extract), pp. 55, 56, 67					
CPH	Jean-Claude Martin, "Tycoon: Theoretical Framework and Software Tools for Multimodal Interfaces", Intelligence and Multimodality in Multimedia Interfaces, John Lee (Ed.) AAI Press, www.imsi.fr/indivde/martin/publications/download					
CPH	S. Caraballo, et al., "New Figures of Merit for Best-First Probabilistic Chart Parsing", 1998 Association for Computational Linguistics, pp. 275-298, Vol. 24, #2					
CPH	S. Bird, et al., "One-Level Phonology: Autosegmental Representations and Rules as Finite Automata", 1994 Association for Computational Linguistics, pp. 55-90, Vol. 20, No. 1					
CPH	D. Magerman, et al., "Efficiency Robustness and Accuracy in Picky Chart Parsing", 30 th Annual Meeting of the Assoc. for Computational Linguistics, June 1992, Delaware, pp. 40-47					
	I. Bazzi, et al., "Modeling Out-of-Vocabulary Words for Robust Speech Recognition", Massachusetts Institute of Technology					

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Sheet 2 of 2

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: October 22, 2001			ATTY DOCKET NO. 1263.1771		APPLICATION NO. 09/891,242	
<div style="text-align: center;">  </div>			APPLICANT ROBERT ALEXANDER KEILLER			
			FILING DATE June 27, 2001		GROUP 2641 2654	

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FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
OPH	1 068 837	1/17/01	EPO	—	—		
OPH	1 054 391	11/22/00	EPO	—	—		
OPH	WO 99/21165	4/29/99	EPO	—	—		

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER C. Paul Harper	DATE CONSIDERED 5/8/02
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GMJ\smj:

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